

EPA ENFORCEMENT ACCOUNTS RECEIVABLE CONTROL NUMBER FORM

TO BE FILLED OUT BY ORIGINATING OFFICE:

(Attach a copy of the final order and transmittal letter to Defendant/Respondent)

This form was originated by: JEFFREY S. NAST 4/28/12
Name of Contact person Date

in the REGIONAL COUNSEL'S OFFICE REG. III at (215) 814-2652
Office Phone number

- Non-SF Jud. Order/Consent Decree. DOJ COLLECTS
- Administrative Order/Consent Agreement FMD COLLECTS PAYMENT
- SF Jud. Order/Consent Decree. FMD COLLECTS
- This is an original debt
- This is a modification

Name of Person and/or Company/Municipality making the payment
STANLEY BLACK & DECKER, INC.

The Total Dollar Amount of Receivable \$ 20,000.00
(If in installments, attach schedule of amounts and respective due dates)

The Case Docket Number EPCRA-03-2012-0145

The Site-Specific Superfund Acct. Number _____

The Designated Regional/HQ Program Office REG. III TOXICS PROGRAMS BRANCH

TO BE FILLED OUT BY LOCAL FINANCIAL MANAGEMENT OFFICE:

The IFMS Accounts Receivable Control Number _____
If you have any questions call: _____
Name of Contact Date
in the Financial Management Office, phone number: _____

JUDICIAL ORDERS: Copies of this form with an attached copy of the front page of the final judicial order should be mailed to:

- 1. Rosemarie Pacheco
Environmental Enforcement Section
Lands Division, Room 130044
1425 New York Avenue, N.W.
Washington, D.C. 20005
- 2. Originating Office (ORC)
- 3. Designated Program Office

ADMINISTRATIVE ORDERS: Copies of this form with an attached copy of the front page of the administrative order should be sent to:

- 1. Originating Office
- 2. Designated Program Office
- 3. Regional Hearing Clerk
- 3. Regional Counsel

**BEFORE THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III**

In the Matter of:

**Stanley Black & Decker, Inc.
1000 Stanley Drive
New Britain, CT 06053**

Respondent

**Stanley Black & Decker, Inc.
626 Hanover Pike
Hampstead, MD 21074**

Facility

Docket No. EPCRA-03-2012-0145

CONSENT AGREEMENT

**Proceeding under
EPCRA Section 325(c),
42 U.S.C. § 11045(c)**

REGIONAL HEARING CLERK
EPA REGION III, PHILA. PA

2012 MAY 25 AM 11:54

RECEIVED

CONSENT AGREEMENT

Preliminary Statement

This Consent Agreement is entered into by the Director of the Land and Chemicals Division, U.S. Environmental Protection Agency - Region III ("EPA" or "Complainant") and Stanley Black & Decker ("Respondent") pursuant to Sections 313 and 325(c) of the Emergency Planning and Community Right-to-Know Act of 1986 ("EPCRA"), 42 U.S.C. §§ 11023 and 11045(c), the regulations implementing EPCRA Section 313, as set forth at 40 C.F.R. Part 372, and the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits ("Consolidated Rules of Practice"), 40 C.F.R. Part 22. Pursuant to 40 C.F.R. §§ 22.13(b) and .18(b)(2) and (3), this Consent Agreement and the accompanying Final Order (collectively referred to as the "CAFO") simultaneously commence and conclude this proceeding to resolve a violation of EPCRA § 313, as alleged herein, by Respondent at its facility located at 626 Hanover Pike in Hampstead, Maryland.

General Provisions

1. For purposes of this proceeding only, Respondent admits the jurisdictional allegations set forth in this CAFO.
2. Except as provided in paragraph 1, above, Respondent neither admits nor denies the specific factual allegations and legal conclusions set forth in this CAFO.
3. Respondent agrees not to contest the jurisdiction of EPA with respect to the execution of this Consent Agreement, the issuance of the attached Final Order, or the enforcement of this CAFO.

4. For purposes of this proceeding only, Respondent hereby expressly waives any right to contest any issue of law or fact set forth in this Consent Agreement and any right to appeal the accompanying Final Order.
5. Respondent consents to the issuance of this CAFO and agrees to comply with its terms and conditions.
6. Respondent shall bear its own costs and attorney's fees.

Findings of Fact and Conclusions of Law

7. Complainant has determined that Respondent has violated EPCRA Section 313, 42 U.S.C. § 11023, and adopts the following findings of fact and conclusions of law in accordance with Sections 22.18(b)(2) and .14(a)(2) and (3) of the Consolidated Rules of Practice.
8. Respondent does business in Maryland and is incorporated in the State of Connecticut where its corporate headquarters are located.
9. As a Connecticut corporation, Respondent is a "person" as defined in Section 329(7) of EPCRA, 42 U.S.C. § 11049(7).
10. Section 329(4) of EPCRA, 42 U.S.C. § 11049(4), and 40 C.F.R. § 372.3 define "facility" to mean, in relevant part, all buildings, equipment, structures, and other stationary items that are located on a single site and that are owned or operated by the same person.
11. Respondent owns and operates, and at the time of the violation alleged herein, owned and operated a facility located at 626 Hanover Pike in Hampstead, Maryland at which Respondent manufactures power tools (the "Facility").
12. Respondent's Facility is a "facility" as defined in Section 329(4) of EPCRA, 42 U.S.C. § 11049(4), and 40 C.F.R. § 372.3.
13. Section 313 of EPCRA, 42 U.S.C. § 11023, and 40 C.F.R. §372.22 require, *inter alia*, that the owner or operator of a facility that:
 - 1) has 10 or more employees;
 - 2) has a primary Standard Industrial Classification ("SIC") Code of 20 [2000] through 39 [3900] (as in effect on January 1, 1987), or, has an SIC code in one or more of the following categories:
 - i. between 1000 and 1099, except 1011, 1081, and 1094;
 - ii. between 1200 and 1299, except 1241;
 - iii. 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce);

- iv. 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. §§ 6921-6939e);
 - v. 5169 or 5171;
 - vi. 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); and
- 3) manufactured, processed or otherwise used a toxic chemical listed in 40 C.F.R. § 372.65, in excess of the threshold quantities established under Section 313(f) of EPCRA, 42 U.S.C. § 11023(f), during the calendar year for which the form is required, must submit a completed toxic chemical release reporting form ("Form R") or appropriate alternative threshold report ("Form A") for each such toxic chemical to EPA and the state in which the facility is located, by July 1 of the following calendar year.

14. At the time of the violation alleged herein, Respondent employed 10 or more full-time employees at the Facility.
15. At the time of the violation alleged herein, the Facility had a primary SIC code of 3429. This SIC code falls between the primary SIC codes of 20 (2000) and 39 (3900) (as in effect on July 1, 1985).
16. For each toxic chemical listed in 40 C.F.R. § 372.65 manufactured, processed, or otherwise used by Respondent at the Facility in excess of the threshold quantity set forth in Section 313(f) of EPCRA, 42 U.S.C. § 11023(f), during any calendar year, Respondent has been required by EPCRA Section 313(a), 40 C.F.R. § 11023(a), at all times relevant to this Consent Agreement, to complete and submit to EPA and the State of Maryland, by July 1, of the following calendar year, a Form R or Form A pursuant to 40 C.F.R. § 372.30.
17. Section 325(c) of EPCRA, 42 U.S.C. § 11045(c), provides that any person who violates EPCRA Section 313, 40 C.F.R. § 11023, shall be liable to the United States for a civil penalty.

COUNT I

18. The allegations of Paragraphs 1 through 17, above, are incorporated by reference as though fully set forth herein.
19. The chemical substance "nickel" is a "toxic chemical" as defined in EPCRA Sections 313(c) and 329(10), 42 U.S.C. §§ 11023(c) and 11049(10), and 40 C.F.R. § 372.3, and is listed in 40 C.F.R. § 372.65.
20. During calendar year 2009, Respondent "processed" more than 25,000 pounds of nickel as that term is defined in EPCRA § 313(b)(1)(C)(ii), 42 U.S.C. § 11023(b)(1)(C)(ii), and 40 C.F.R. § 372.3. Such amount exceeded the threshold quantity for reporting a toxic chemical manufactured or processed at a facility as set forth in EPCRA Section 313(f)(1)(B), 42 U.S.C. § 11023(f)(1)(B), 40 C.F.R. § 372.25(a).

21. Pursuant to EPCRA Section 313, 42 U.S.C. § 11023, Respondent was required to submit to EPA and the State of Maryland, by July 1, 2010, a completed Form R or Form A for nickel processed at the Facility covering calendar year 2009.
22. On or about November 1, 2010, Respondent submitted to EPA and to the State of Maryland a completed Form R for nickel processed at the Facility for calendar year 2009, after the July 1, 2010 deadline for submitting the form.
23. Respondent's failure to submit to EPA, by July 1, 2010, a completed Form R or Form A to report the amount of nickel processed at the Facility for calendar year 2009 constitutes a violation of Section 313 of EPCRA, 42 U.S.C. § 11023, for which Respondent is liable for a civil penalty pursuant to EPCRA Section 325(c), 42 U.S.C. § 11045.

COUNT II

24. The allegations of Paragraphs 1 through 23, above, are incorporated by reference as though fully set forth herein.
25. The chemical substance "lead" is a "toxic chemical" as defined in EPCRA Sections 313(c) and 329(10), 42 U.S.C. §§ 11023(c), 11049(10), and 40 C.F.R. § 372.3, and is listed in 40 C.F.R. § 372.65.
26. During calendar year 2007, Respondent "processed" more than 100 pounds of lead as that term is defined in EPCRA Section 313(b)(1)(C)(ii), 42 U.S.C. § 11023(b)(1)(C)(ii), and 40 C.F.R. § 372.3. Such amount exceeded the threshold quantity for reporting for lead set forth in EPCRA § 313(f)(1), 42 U.S.C. § 11023(f)(1), as revised by 40 C.F.R. § 372.28(a)(1).
27. Pursuant to EPCRA Section 313, 42 U.S.C. § 11023, Respondent was required to submit to EPA and the State of Maryland, by July 1, 2008, a completed Form R or Form A to report lead processed at the Facility during 2007.
28. On or about March 22, 2012, Respondent submitted to EPA and to the State of Maryland a completed Form R for lead processed at the Facility for calendar year 2007, after the July 1, 2008 deadline for submitting the form.
29. Respondent's failure to submit to EPA, by July 1, 2008, a completed Form R or Form A to report the amount of lead processed at the Facility during 2007 constitutes a violation of Section 313 of EPCRA, 42 U.S.C. § 11023, for which Respondent is liable for civil penalties pursuant to EPCRA Section 325(c), 42 U.S.C. § 11045.

COUNT III

30. The allegations of Paragraphs 1 through 29, above, are incorporated by reference as though fully set forth herein.

31. The chemical substance "lead" is a "toxic chemical" as defined in EPCRA Sections 313(c) and 329(10), 42 U.S.C. §§ 11023(c), 11049(10), and 40 C.F.R. § 372.3, and is listed in 40 C.F.R. § 372.65.
32. During calendar year 2009, Respondent "processed" more than 100 pounds of lead as that term is defined in EPCRA Section 313(b)(1)(C)(ii), 42 U.S.C. § 11023(b)(1)(C)(ii), and 40 C.F.R. § 372.3. Such amount exceeded the threshold quantity for reporting for lead set forth in EPCRA § 313(f)(1), 42 U.S.C. § 11023(f)(1), as revised by 40 C.F.R. § 372.28(a)(1).
33. Pursuant to EPCRA Section 313, 42 U.S.C. § 11023, Respondent was required to submit to EPA and the State of Maryland, by July 1, 2010, a completed Form R or Form to report lead processed at the Facility during 2009.
34. On or about March 22, 2012, Respondent submitted to EPA and to the State of Maryland a completed Form R for lead processed at the Facility for calendar year 2009, after the July 1, 2010 deadline for submitting the form.
35. Respondent's failure to submit to EPA, by July 1, 2010, a completed Form R or Form A to report the amount of lead processed at the Facility during 2009 constitutes a violation of Section 313 of EPCRA, 42 U.S.C. § 11023, for which Respondent is liable for civil penalties pursuant to EPCRA Section 325(c), 42 U.S.C. § 11045(c).

Civil Penalty

36. In settlement of EPA's claims for civil monetary penalties assessable for the violations alleged in this Consent Agreement, Respondent consents to the assessment of a civil penalty in the amount of **Twenty Thousand Dollars (\$20,000.00)**, which Respondent agrees to pay in accordance with the terms set forth below. Such civil penalty shall become due and payable immediately upon Respondent's receipt of a true and correct copy of this CAFO, fully executed by the parties, signed by the Regional Administrator or the Regional Judicial Officer, and filed with the Regional Hearing Clerk. In order to avoid the assessment of interest, administrative costs, and late payment penalties in connection with such civil penalty as described in this CAFO, Respondent must pay such civil penalty no later than thirty (30) calendar days after the date on which this CAFO is mailed or hand-delivered to Respondent.
37. The aforesaid settlement amount is based upon Complainant's consideration of the facts and circumstances of this case and the penalty criteria set forth in EPA's *Enforcement Response Policy for Section 313 of the Emergency Planning and Community Right-to-Know Act (1986)* (August 10, 1992). Complainant has also considered the Adjustment of Civil Penalties for Inflation, 40 C.F.R. Part 19. The settlement in this proceeding is consistent with the provisions and objectives of EPCRA Section 313, 42 U.S.C. § 11023, and 40 C.F.R. Part 372.

38. Payment of the civil penalty amount assessed in paragraph 36, above, shall be made by either cashier's check, certified check, or electronic wire transfer, in the following manner:

- A. All payments by Respondent shall reference Respondent's name and address, and the Docket Number of this action, *i.e.*, EPCRA-03-2012-0145;
- B. All checks shall be made payable to **"United States Treasury"**;
- C. All payments made by check and sent by regular mail shall be addressed to:

US Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
PO Box 979077
St. Louis, MO 63197-9000

Customer service contact: 513-487-2105

- D. All payments made by check in any currency drawn on banks with no branches in the United States shall be addressed for delivery to the following address:

Cincinnati Finance
US EPA, MS-NWD
26 W. M.L. King Drive
Cincinnati, OH 45268-0001

- E. All payments made by check and sent by overnight delivery service shall be addressed for delivery to:

U.S. Bank
Government Lockbox 979077
U.S. EPA Fines & Penalties
1005 Convention Plaza
Mail Station SL-MO-C2-GL
St. Louis, MO 63101

Contact: 314-418-1028

- F. All payments made by electronic wire transfer shall be directed to:

Federal Reserve Bank of New York
ABA = 021030004
Account No. = 68010727
SWIFT address = FRNYUS33
33 Liberty Street

New York, NY 10045

Field Tag 4200 of the Fedwire message should read:
“D 68010727 Environmental Protection Agency”

- G. All electronic payments made through the Automated Clearinghouse (ACH), also known as Remittance Express (REX), shall be directed to:

US Treasury REX / Cashlink ACH Receiver
ABA = 051036706
Account No.: 310006, Environmental Protection Agency
CTX Format Transaction Code 22 - Checking

Physical location of U.S. Treasury facility:

5700 Rivertech Court
Riverdale, MD 20737
Contact: 866-234-5681

- H. Additional payment guidance is available at:

http://www.epa.gov/ocfo/finservices/make_a_payment.htm

- I. On-Line Payment Option:

WWW.PAY.GOV/PAYGOV

Enter **sfo 1.1** in the search field. Open and complete the form.

- J. A copy of Respondent’s check or a copy of Respondent’s electronic fund transfer shall be sent simultaneously to:

Jeffrey S. Nast
Senior Assistant Regional Counsel
U.S. Environmental Protection Agency
Region III (Mail Code 3RC30)
1650 Arch Street
Philadelphia, PA 19103-2029

and

Ms. Lydia Guy
Regional Hearing Clerk
U.S. Environmental Protection Agency
Region III (Mail Code 3RC00)

1650 Arch Street
Philadelphia, PA 19103-2029

39. Pursuant to 31 U.S.C. § 3717 and 40 C.F.R. § 13.11, EPA is entitled to assess interest and late payment penalties on outstanding debts owed to the United States and a charge to cover the costs of processing and handling a delinquent claim, as more fully described below. Accordingly, Respondent's failure to make timely payment shall result in the assessment of late payment charges including interest, penalties, and/or administrative costs of handling delinquent debts.
40. Interest on the civil penalty assessed in this CAFO will begin to accrue on the date that a true and correct copy of this CAFO, fully executed by the parties, signed by the Regional Administrator or the Regional Judicial Officer, and filed with the Regional Hearing Clerk, is mailed or hand-delivered to Respondent. However, EPA will not seek to recover interest on any amount of the civil penalty that is paid within thirty (30) calendar days after the date on which such interest begins to accrue. Interest will be assessed at the rate of the United States Treasury tax and loan rate in accordance with 40 C.F.R. § 13.11(a).
41. The costs of the Agency's administrative handling of overdue debts will be charged and assessed monthly throughout the period a debt is overdue. 40 C.F.R. § 13.11(b). Pursuant to Appendix 2 of EPA's *Resources Management Directives - Cash Management*, Chapter 9, EPA will assess a \$15.00 administrative handling charge for administrative costs on unpaid penalties for the first thirty (30) day period after the payment is due and an additional \$15.00 for each subsequent thirty (30) days the penalty remains unpaid.
42. A late payment penalty of six percent (6%) per year will be assessed monthly on any portion of the civil penalty that remains delinquent more than ninety (90) calendar days. 40 C.F.R. § 13.11(c). The late payment penalty on any portion of the civil penalty that remains delinquent for more than ninety days shall accrue from the first day payment is delinquent. 31 C.F.R. § 901.9(d).
43. Respondent agrees not to deduct for federal tax purposes the civil monetary penalty assessed in this CAFO.

Certifications

44. The individual who signs this Consent Agreement on behalf of Respondent certifies that the Facility referred to in this Consent Agreement is currently in compliance with all applicable requirements of EPCRA Section 313.

Other Applicable Laws

45. Nothing in this CAFO shall relieve Respondent of its obligation to comply with all applicable federal, state, and local laws and regulations.

Reservation of Rights

46. This Consent Agreement and the accompanying Final Order resolve only EPA's civil claims for the specific violation of EPCRA § 313 alleged herein. EPA reserves the right to commence action against any person, including Respondent, in response to any condition which EPA determines may present an imminent and substantial endangerment to the public health, public welfare, or the environment. In addition, this settlement is subject to all limitations on the scope of resolution and to the reservation of rights set forth in Section 22.18(c) of the Consolidated Rules of Practice. Further, EPA reserves any rights and remedies available to it under EPCRA, the regulations promulgated thereunder, and any other federal laws or regulations for which EPA has jurisdiction, to enforce the provisions of this CAFO, following its filing with the Regional Hearing Clerk.

Scope of Settlement

47. The settlement set forth in this CAFO shall constitute full and final satisfaction of Complainant's civil claims for penalties for the specific violation alleged herein. Compliance with the CAFO shall not be a defense to any action commenced at any time for any other violation of the federal laws and regulations administered by EPA.

Parties Bound

48. This Consent Agreement and the accompanying Final Order shall apply to and be binding upon the EPA, the Respondent and the officers, directors, employees, contractors, successors, agents, and assigns of Respondent. By his or her signature below, the person who signs this Consent Agreement on behalf of Respondent is acknowledging that he or she is fully authorized by the party represented to execute this Consent Agreement and to legally bind Respondent to the terms and conditions of this Consent Agreement and the accompanying Final Order.

Effective Date


49. The effective date of this Consent Agreement and the accompanying Final Order is the date on which the Final Order, signed by the Regional Administrator of EPA - Region III, or his designee, the Regional Judicial Officer, is filed with the Regional Hearing Clerk pursuant to the Consolidated Rules of Practice.

Entire Agreement

50. This Consent Agreement and the accompanying Final Order constitute the entire agreement and understanding of the parties regarding settlement of all claims pertaining to the specific violation alleged herein and there are no representations, warranties, covenants, terms, or conditions agreed upon between the parties other than those expressed in this CAFO.

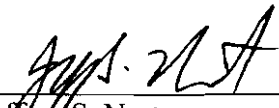
For Respondent:

Date: 4/13/2012

By: 
Stephen V. Axtell, Partner
Thompson Hine LLP
Counsel for
Stanley Black & Decker, Inc.

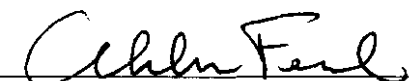
For Complainant:

Date: 4/26/12

By: 
Jeffrey S. Nast
Senior Assistant Regional Counsel

Accordingly, I hereby recommend that the Regional Administrator, or his designee, the Regional Judicial Officer, issue the attached Final Order.

Date: 5/18/12

By: 
Abraham Ferdas, Director
Land and Chemicals Division

BEFORE THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III

In the Matter of:

Stanley Black & Decker, Inc.
1000 Stanley Drive
New Britain, CT 06053

Respondent

Stanley Black & Decker, Inc.
626 Hanover Pike
Hampstead, MD 21074

Facility

Docket No. EPCRA-03-2012-0145

CONSENT AGREEMENT

Proceeding under
EPCRA Section 325(c),
42 U.S.C. § 11045(c)

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REGIONAL HEARING CLERK
EPA REGION III, PHILA. PA

FINAL ORDER

Complainant, the Director of the Land and Chemicals Division, U.S. Environmental Protection Agency - Region III, and Respondent, Stanley Black & Decker, Inc., have executed a document entitled "Consent Agreement," which I hereby ratify as a Consent Agreement in accordance with the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits ("Consolidated Rules of Practice"), 40 C.F.R. Part 22 (with specific reference to Sections 22.13(b) and 22.18(b)(2) and (3)). The terms of the foregoing Consent Agreement are accepted by the undersigned and incorporated into this Final Order as if fully set forth at length herein.

Based on the representations of the parties in the attached Consent Agreement, the civil penalty agreed to therein is based upon consideration of, *inter alia*, EPA's *Enforcement Response Policy for Section 313 of the Emergency Planning and Community Right-to-Know Act (1986)* (August 10, 1992) and the provisions and objectives of EPCRA. **NOW, THEREFORE, PURSUANT TO** Section 325(c) of the Emergency Planning and Community Right-to-Know Act of 1986 ("EPCRA"), 42 U.S.C. § 11045(c), and the Consolidated Rules of Practice, **IT IS HEREBY ORDERED** that Respondent pay a civil penalty of **Twenty Thousand Dollars (\$20,000.00)** and comply with the terms and conditions of the Consent Agreement.

The effective date of the foregoing Consent Agreement and this Final Order is the date on which this Final Order is filed with the Regional Hearing Clerk.

Date: 5/24/12

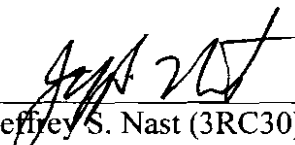
Renée Sarajian
Renée Sarajian
Regional Judicial Officer
U.S. EPA - Region III

CERTIFICATE OF SERVICE

I hereby certify that the original and one copy of the Consent Agreement/Final Order, Docket No. EPCRA-03-2012-0145, and associated enclosures, have been hand delivered and filed with the EPA Region III Regional Hearing Clerk on the date below, and that copies of the same were sent via UPS expedited delivery to the following:

Stephen J. Axtell, Partner
Thompson Hine LLP
10050 Innovation Drive
Ste. 400
Dayton, OH 45342-4934

5/25/12
Date



Jeffrey S. Nast (3RC30)
Sr. Asst. Regional Counsel
U.S. EPA , Region III
(215) 814-2652